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#### PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q67879

Kenji ISHIKAWA, et al.

Appln. No. 10/019,678

Group Art Unit: 3654

Confirmation No. 7815

Examiner: William Arauz Rivera

Filed: January 4, 2002

For: MAGNETIC TAPE CARTRIDGE

# <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

- 1. U.S. Patent No. 6,014,293, published January 11, 2000.
- 2. JP 863940A, published March 8, 1996
- 3. JP 5314452A, published November 26, 1993.
- 4. CN 1116354A, published February 7, 1996.

The present Information Disclosure Statement is being filed after either a Final Office

Action, Notice of Allowance, or an action that otherwise closes prosecution in the application

(whichever is earlier), but before payment of the Issue Fee, and therefore Applicant is submitting

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/019,678

herewith a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p), and a Statement Under

37 C.F.R. § 1.97(e).

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith an English translation of pertinent

portions of a corresponding Chinese Office Action dated June 25, 2004, and, which cites such

documents and indicates the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 44,186

Ronald Kimble

SUGHRUE MION, PLLC

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: August 23, 2004

-2-



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### STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

SUGHRUE MION, PLLC

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WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: August 23, 2004

Ronald Kimble

Registration No. 44,186

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Substitute for Form 1449 A & B/PTO		Complete if Known			
		Application Number	10/019,678		
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STATEMENT BY	APPLICANT	Filing Date	January 04, 2002		
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,		Examiner Name	William Arauz Rivera		
Sheet 1	of 1	Attorney Docket Number	Q67879		
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	•		U.S. 1	PATENT DOCU	MENTS
Examiner Cite Initials* No.1	Cita	Document Number		Publication Date	
	Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 6,014,293		01/11/2000	Casey et al.
		US			

FOREIGN PATENT DOCUMENTS							
	Fo	Foreign Patent Document			Name of Patentee or	m 6	
	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>	
		JР	863940	Α	03/08/1996		
		JP	5314452	Α	11/26/1993		
		CN	1116354	A	02/07/1996		
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		English Translation of Portions of Chinese Office Action dated June 25, 2004	
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Examiner Signature	Da	te Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.